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PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT WIPO

REC'D 2 8 JUN 2001

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION	See Notification of Transmittal of International			
1110-297.PCT	FOR FURTHER ACTION	Preliminary Examination Report (Form PCT/IPEA/416)			
International application No.	International filing date (day/me	onth/year) Priority date (day/month/year)			
PCT/US00/28817 19 OCTOBER 2000 22 OCTOBER 1999					
International Patent Classification (IPC) IPC(7): B62D 35/00 and US Cl.: 296	or national classification and IPC 5/190.02, 65.01				
Applicant MACK TRUCKS, INC.					
This REPORT consists of a This report is also accombeen amended and are the	total of sheets. spanied by ANNEXES, i.e., sheets to the approximation and sheets.	ets of the description, claims and/or drawings which have			
(see Rule 70.16 and Sec These annexes consist of a to	don 607 of the Administrative in	nstructions under the PCT).			
3. This report contains indication					
I X Basis of the repor		iis.			
II Priority					
=	A = 6				
		elty, inventive step or industrial applicability			
IV Lack of unity of i					
	andors supporting such stateme	rd to novelty, inventive step or industrial applicability; nt			
VI Certain documents					
VII Certain defects in the	he international application				
VIII Certain observation	s on the international application	ı			
		·			
•					
)					
Date of submission of the demand	Date of	completion of this report			
24 APRIL 2001	02 J	UNE 2001			
lame and mailing address of the IPEA/U		zed officer			
Commissioner of Patents and Tradema Box PCT	rks	EDIL D. DADE COLOR			
Washington, D.C. 20231 acsimile No. (703) 305-3230	102	EPH D. PAPE Diane Smith J			
ACCION (103) 303-3230	j i elepho	ne No. (703) 308-1113			

International	application	No

PCT/US00/28817

I. Ba	asis of the re	port —————						
1. With	regard to the el							
X	the internati		ion as orig	mally file	ed			
X	the descripti					,		
	page::							as originally filed
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	bañes	NONE			Hed	with the lette	r ol	
X	the claims.							
	pages	13-18						as originally filed
	page::							statement) under Article 19
	pages			filed wit	h the lette	ar of	 	. filed with the demand
				1110.00 1110.		.1 01		
X	the drawings							·
	pages	1-15						. as originally filed
	pages pages	NONE NONE			filed mil	. 11 1		filed with the demand
	pages				. Hied with	rue letter of		
X	the sequen	ce listing	part of	the				
	playersption.	NONE	<u> </u>					, as originally filed
	pages	NONE						filed with the demand
	pages	NONE			, filed with	r the letter of		
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	·	nucleotide ar	ıd/or amin	o acid sec	quence disc	dosed in the in	iternational	application, the international
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Replace in this	cement sheets w s report as "or	hich have beer	furnished t	o the rece	iving Office	in response to	an invitution	under Article 14 are referred to ain amendments (Rules 70.16
ana /	0.17).							nnevad to this report

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US00/28817

statement			
Novelty (N)	Claims	1-38	Υ.
	Claims	NONE	N
Inventive Step (IS)	Claims	1-38	Y
	Claims	NONE	N
Industrial Applicabilities (IA)	Claims	1-38	**
Industrial Applicability (IA)	Claims	NONE	Y
 NONE			
		·	
		·	
	2		

From the INTERNATIONAL SEARCHING AUTHORITY

To: GEORGE R. REPPER ROTHWELL, FIGG, ERNST & MANBECK P.C. 555 13TH STREET, N. W. SUITE 701-E HULLIWIELL FIGG ERNST & MANE WASHINGTON DC 20004	PCT NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION				
REFERENCE CONTRACTOR	(PCT Rule 44.1)				
FILE NO 1770 - 267 PCF OKTO FILE	Date of Mailing (day/month/year)				
Applicant's or agent's file reference	30 JAN 2001				
1110-297.PCT	FOR FURTHER ACTION See paragraphs 1 and 4 below				
International application No. PCT/US00/28817	International filing date (day/month/year)				
	19 OCTOBER 2000				
Applicant MACK TRUCKS, INC.					
Filing of amendments and statement under Artic	l search report has been established and is transmitted herewith. le 19: the claims of the international application (see Rule 46):				
When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.					
Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35					
For more detailed instructions, see the notes on	the accompanying sheet.				
2. The applicant is hereby notified that no international Article 17(2)(a) to that effect is transmitted herewith.	I search report will be established and that the declaration under				
3. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:					
the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.					
no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.					
4. Further action(s): The applicant is reminded of the following	-				
Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication.					
Within 19 months from the priority date, a demand for int wishes to postpone the entry into the national phase un	Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).				
Within 20 months from the priority date, the applicant must	perform the prescribed acts for entry into the national phase before				
Name and mailing address of the ISA/US	Authorized officer				
Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	JOSEPH D. PAPE DIGNE Smith for				
Facsimile No. (703) 305-3230	Telephone No. (703) 308-1113				

Form PCT/ISA/220 (July 1998)*

(See notes on accompanying sheet)

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty and of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

The claims only.

The description and the drawings may only be amended during international preliminary examination under Chapter II.

When? Within 2 months from the date of transmittal of the international search report of 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How? Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

What documents must/may accompany the amendments ?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be contounded with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new:
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER ACTION	see Notification of (Form PCT/ISA/220	Transmittal of International Search Report) as well as, where applicable, item 5 below.					
International application No.	International filing date	(day/month/year)	(Earliest) Priority Date (day/month/year)					
PCT/US00/28817	19 OCTOBER 2000		22 OCTOBER 1999					
Applicant MACK TRUCKS, INC.								
	This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.							
This international search report consists of a total of <u>3</u> sheets.								
X It is also accompanied by a copy of each prior art document cited in this report.								
 Basis of the report a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item. the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)). 								
•		ce disclosed in the in	ternational application, the international search					
contained in the internationa	l application in written for	rm.						
filed together with the intern	filed together with the international application in computer readable form.							
furnished subsequently to the	is Authority in written for	rm.						
furnished subsequently to the	furnished subsequently to this Authority in computer readable form.							
international application as f	the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished. the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.							
2. Certain claims were found	unsearchable (See Box	I).						
3. Unity of invention is lacking	ng (See Box II).							
4. With regard to the title,								
X the text is approved as subm	itted by the applicant.							
the text has been established	by this Authority to read	as follows:						
	•							
5. With regard to the abstract,								
the text is approved as subm the text has been established Box III. The applicant may, search report, submit comme	l, according to Rule 38.2() within one month from the	b), by this Authority e date of mailing of	as it appears in this international					
6. The figure of the drawings to be pu		is Figure No. 2						
X as suggested by the applican		<i>D</i>	·					
because the applicant failed			None of the figures.					
because this figure better cha								

Form PCT/ISA/210 (first sheet) (July 1998)★

A. CLASSIFICATION OF SUBJECT MATTER IPC(7) :B62D 35/00 US CL :296/190.02, 65.01						
According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED						
Minimum documentation searched (classification system followed by classification symbols)						
U.S. : 296/190.02, 65.01, 190.01, 190.08, 65.05, 63						
Documentation searched other th	nan minimum documentation to the	ne extent that such documents are included	in the fields searched			
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)						
C. DOCUMENTS CONSIDERED TO BE RELEVANT						
Category* Citation of doc	ument, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.			
X US 5,029,929 document.	A (SJOSTROM et al	l.) 09 July 1991, see entire	18			
US 5,638,566 document.	O A (RIGDON et al.)	17 June 1997, see entire	18			
A US 4,215,899	US 4,215,899 A (SCHMIDT et al.) 05 August 1980.					
A US 3,879,081	US 3,879,081 A (HOCKLEY et al.) 22 April 1975.					
A US 4,664,438	US 4,664,438 A (CREPALDI) 12 May 1987.					
A US 2,472,185	US 2,472,185 A (APEL) 07 June 1949.					
A US 2,857,977	US 2,857,977 A (BOCK) 28 October 1958.					
X Further documents are listed in the continuation of Box C. See patent family annex.						
 Special categories of cited do "A" document defining the genera to be of particular relevance 	cuments:	"T" later document published after the inte date and not in conflict with the appli the principle or theory underlying the	cation but cited to understand			
"L" document which may throw	n or after the international filing date doubts on priority claim(s) or which is tion date of another citation or other	_"X" document of particular_relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone				
special reason (as specified)	al disclosure, use, exhibition or other	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art				
P document published prior to the priority date claimed	e international filing date but later than	"&" document member of the same patent				
Date of the actual completion of 16 JANUARY 2001	the international search	Date of mailing of the international sear	rch report			
Name and mailing address of the Commissioner of Patents and Trad Box PCT	: ISA/US emarks	Authorized officer	(4 /			
Washington, D.C. 20231 Facsimile No. (703) 305-3230		JOSEPH D. PAPE Jane J Telephone No. (703) 308-1113	mith f			
. ()			<i>v</i> 1			

INTERNATIO

SEARCH REPORT

In Jonal application No. PC1/US00/28817

	Citation of documents US 4,527,828 A US 4,832,404 A	A (GROCE	et al.) 09 1	July 1985.		***************************************	Relevant to	
					9.			
A T	US 4,832,404	A (BAYMA	AK et al.) 2	3 May 198	9.			
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Form PCT/ISA/210 (continuation of second sheet) (July 1998)*

INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/28817

. CLASS	SIFICATION OF SUBJECT MATTER					
	62D 35/00 96/190.02, 65.01	1 100				
US CL :296/190.02, 65.01 ccording to International Patent Classification (IPC) or to both national classification and IPC						
. FIELD	S SEARCHED followed by	classification symbols)				
linimum doo	cumentation searched (classification system followed by	010301110111111111111111111111111111111				
	96/190.02, 65.01, 190.01, 190.08, 65.05, 63		t - Galda searched			
Ocumentation	on searched other than minimum documentation to the exte	ent that such documents are included in	the helds scalehed			
Electronic da	ata base consulted during the international search (name	of data base and, where practicable, se	earch terms used)			
Electionic of	out out					
C. DOC	UMENTS CONSIDERED TO BE RELEVANT					
	Citation of document, with indication, where appro-	priate, of the relevant passages	Relevant to claim No.			
Category*			18			
X	US 5,029,929 A (SJOSTROM et al.)	09 July 1991; 500 clima				
٠	document.	,	10			
X	US 5,638,560 A (RIGDON et al.) 1	7 June 1997, see entire	18			
	document.					
•	US 4,215,899 A (SCHMIDT et al.) 05 August 1980.					
A						
A	US 3,879,081 A (HOCKLEY et al.) 22 April 1975.					
	US 4,664,438 A (CREPALDI) 12 May 1987.					
A	US 4,664,438 A (CREPALDI) 12 May 1567					
A	US 2,472,185 A (APEL) 07 June 1949.					
	US 2,857,977 A (BOCK) 28 October 1	958.				
A	US 2,837,977 A (BOCK) 20 College					
		*				
L		See patent family annex.				
X Fu	orther documents are listed in the continuation of Box C.	Land a Country into	ernational filing date or priority			
•	Special categories of cited documents: document defining the general state of the art which is not considered	date and not in contlict with the app the principle or theory underlying th	e invention			
`^.	to be of particular relevance earlier document published on or after the international filing date	document of particular relevance, the	ne claimed invention cannot be ered to involve an inventive step			
"E"	the state of priority claim(s) or which is	when the document is taken alone	he claimed invention cannot be			
	cited to establish the publication date of allower states as special reason (as specified)	considered to involve an inventive	ch documents, such combination			
•0•	document referring to an oral disclosure, use, exhibition or other means	being obvious to a person skilled in	the art			
-p-	document published prior to the international filing date but later than the priority date claimed	*& document member of the same pate				
Date of	the actual completion of the international search	Date of mailing of the international so				
16 JA	NUARY 2001	Authorized officer JOSEPH D. PAPE Janu	081			
N	and mailing address of the ISA/US	Authorized officer	14/			
Comm	nissioner of Patents and Trademarks	JOSEPH D. PAPE DOW	Smith f			
Wash	ington, D.C. 20231 sile No. (703) 305-3230	Telephone No. (703) 308-1113				
1 acoun	······································					

Form PCT/ISA/210 (second sheet) (July 1998)★

INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/28817

	citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
ategory*	Chanon of document	
	US 4,527,828 A (GROCE et al.) 09 July 1985.	
•		
	US 4,832,404 A (BAYMAK et al.) 23 May 1989.	
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		Si.
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PATENT COOPERATION TREATY

10/089232

PCT

NOTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)

Date of mailing (day/month/year)
24 April 2002 (24.04.02)

Applicant's or agent's file reference
1110-297.PCT

International application No.
PCT/US00/28817

	10/00 000
,	From the INTERNATIONAL BUREAU
	To:
	REPPER, George, R. Rothwell, Figg, Ernst & Manbeck,
	P.C. Suite 800, 1425 K Street, N.W.,
1	Washington, DC 20005 ETATS-UNIS D'AMERIQUE
	IMPORTANT NOTIFICATION
	International filing date (day/month/year) 19 October 2000 (19.10.00)
×	the agent the common representative
-	State of Nationality State of Residence
	Telephone No. 202 783 6040

the applicant the inventor	gent the common representative
Name and Address	State of Nationality State of Residence
REPPER, George, R. Rothwell, Figg, Ernst & Manbeck,	Telephone No.
P.C.	202 783 6040
555 13th Street, N.W., Suite 701-E	
Washington, DC 20004 United States of America	Facsimile No.
Office States of Affician	202 783 6031
	Teleprinter No.
2. The International Bureau hereby notifies the applicant that the follow	ing change has been recorded concerning:
	the nationality the residence
the person the name X the address	
Name and Address	State of Nationality State of Residence
REPPER, George, R.	
Rothwell, Figg, Ernst & Manbeck,	Telephone No.
P.C.	202 783 6040
Suite 800, 1425 K Street, N.W.,	Facsimile No.
Washington, DC 20005	
United States of America	202 783 6031
	Teleprinter No.
3. Further observations, if necessary:	
4. A copy of this notification has been sent to:	
X the receiving Office	the designated Offices concerned
	X the elected Offices concerned
the International Searching Authority	
the International Preliminary Examining Authority	other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland **Authorized officer**

Jocelyn JEANNEROT

Facsimile No.: (41-22) 740.14.35 Telephone No.: (41-22) 338.83.38

TENT COOPERATION TREA

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From					

To:

Commissioner **US** Department of Commerce United States Patent and Trademark Office, PCT 2011 South Clark Place Room

CP2/5C24 . . .

Arlington, VA 22202 **ETATS-UNIS D'AMERIQUE**

22 October 1999 (22.10.99)

19 July 2001 (19.07.01)	in its capacity as elected
International application No. PCT/US00/28817	Applicant's or agent's file reference 1110-297.PCT
International filing date (day/month/year)	Priority date (day/month/year)

Applicant

MILENOVICH, Milen

19 October 2000 (19.10.00)

	X in the demand filed	with the International Preliminary Examining Authority 24 April 2001 (24.04.01)	·····································
	in a notice effecting	g later election filed with the International Bureau on:	
			
2.	The election X was	s	
ļ		s not	
	and hefore the ovairation	on of 19 months from the priority date or, where Rule 3	32 applies, within the time limit under
ļ	Rule 32.2(b).		
	Rule 32.2(b).		•9
	Rule 32.2(b).		·
	Rule 32.2(b).		
	Rule 32.2(b).		

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Odile ALIU

Telephone No.: (41-22) 338.83.38

Form PCT/IB/331 (July 1992)

Facsimile No.: (41-22) 740.14.35

US0028817